

**CALIFORNIA DEPARTMENT OF PUBLIC HEALTH
PROPOSITION 84 FUNDING PROGRAM, MS 7408**

P.O. Box 997377
Sacramento, CA 95899-7377
Office: (916) 449-5600
Fax: (916) 449-5655
dwpfunds@cdph.ca.gov



**PROPOSITION 84
The Safe Drinking Water, Water Quality and Supply, Flood Control,
River and Coastal Protection Bond Act of 2006**

APPLICATION FOR GRANT FUNDING

- Section 75022 – Small Community Infrastructure Improvements for Chemical and Nitrate Contaminants
Under Section 75022, Please indicate if you are requesting funds for a: Feasibility Study Construction Project
- Section 75025 – Prevention and Reduction of Groundwater Contamination

A. PUBLIC WATER SYSTEM AND/OR APPLICANT *(Please print or type)*

1. Legal Name of Applicant

2. Public Water System Name (If different than Legal Name)	Public Water System Number	Project No. (FOR CDPH USE ONLY)
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3. Project Title	County
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4. Authorized Representative (name, title)

Mailing Address (number, street)	City	ZIP code	Fax ()
E-mail Address	Office Telephone ()	Mobile Telephone ()	

5. Overnight mailing address – *Provide a physical address for overnight mail, if different than the mailing address*

6. Please include the name and district number of the State Senator(s) and Assembly Member(s) representing the service area that benefits from the project:

State Senator (Name and District Number):

State Assembly (Name and District Number):

7. List additional people if necessary to be contacted for this project. Contacts may include engineering consultants, project managers, administrative staff, etc. Use additional sheets if necessary.

Additional Project Contact	Title/Project Role
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Mailing Address (number, street)	City	ZIP code	Fax ()
E-mail Address	Office Telephone ()	Mobile Telephone ()	

Additional Project Contact	Title/Project Role
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Mailing Address (number, street)	City	ZIP code	Fax ()
E-mail Address	Office Telephone ()	Mobile Telephone ()	

Public Water System Name	System ID Number
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B. OWNERSHIP TYPE

Indicate the Ownership of the Water System (Check all that apply)

Public Ownership

- Municipality
- County Agency
- Special District
- State Agency
- Federal Agency
- Irrigation District
- Other

Private Ownership

- General Corporation
- Limited Corporation
- Partnership
- Incorporated Mutual
- Unincorporated Association
- Non-Profit Organization - Federal Tax ID #: _____
- Limited Liability Company (LLC)
- Other

List the formation documents submitted with the application to support the selected ownership type: _____

C. CALIFORNIA PUBLIC UTILITIES COMMISSION (CPUC) NOTIFICATION

1. Does the CPUC regulate the public water system? Yes No

If Yes, attach a copy of the documentation that verifies the applicant has notified the CPUC of its intent to submit a Prop 84 Funding Application.

2. Provide a list and include a description of all matters relating to the public water system that are currently pending before the CPUC which affect the financial condition of the applicant or the proposed project.

D. ADDITIONAL INFORMATION (Use additional sheets as necessary)

1. Current Population Served by the Water System: _____

2. Current Number of Active Service Connections: _____

3. Attach a Map of the service area which includes existing facilities and the proposed project area.

4. Agency that has jurisdiction over the water system: _____

- CDPH LPA: _____ (list the Local Primary Agency county)

5. Is there any litigation pending relative to the operation of the water system or proposed project? Yes No

If Yes, attach a description of the litigation and potential costs:

6. Does or will the water system currently contract with a private firm or another agency for the operation of the facility to be financed? Yes No

If Yes, provide the name of the firm or agency that will operate the facility and include the term (in years) of the agreement. Attach a copy of this agreement.

7. Does or will the applicant lease land or major water system facilities associated with the project? Yes No

If Yes, describe the terms of the lease or attach a copy of the lease agreement:

Public Water System Name	System ID Number
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E. FUNDING AUTHORITY INFORMATION

The applicant must have the legal authority to enter into a Proposition 84 Funding Agreement with the State of California.

1. Is the applicant required to hold an election before entering into a Funding Agreement? Yes No

2. Provide a description of the actions that the applicant must take to obtain the necessary approvals to enter into a funding agreement. (i.e., Funding Agreement Resolution, modification of by-laws, city council approval, votes of governing body, etc.)

F. PUBLIC WATER SYSTEM RESOLUTION

A resolution is required for submittal of the Prop 84 Funding Application. This is called the Application Resolution. Please refer to the sample funding application resolution included in this Prop 84 Application packet.

1. Application Resolution Status: Approved

Provide any additional information on the resolution status: _____

G. LABOR COMPLIANCE PROGRAM (LCP)

The applicant must comply with the Labor Compliance Program (LCP) requirements specified by the Department of Industrial Relations (DIR). Indicate how the LCP requirement will be met for this project by selecting one of the following options. In all cases, please complete the attached LCP Self-Certification Form and submit it with the Prop 84 Funding Application.

- The applicant will use its own existing Labor Compliance Program, which is approved by the DIR.
- The applicant will develop a Labor Compliance Plan for approval by the DIR.
- The applicant has contracted or intends to contract for LCP services from an organization approved by the DIR.
- DIR may determine that LCP requirements do not need to be met by this project. If so, documentation from the DIR must be provided to support the determination.

Please provide any additional information on the LCP status: _____

H. TECHNICAL, MANAGERIAL, AND FINANCIAL (TMF) CAPACITY OF APPLICANT

Pursuant to the requirements specified in the Prop 84, Section 75022, Final Revised Criteria (October 20, 2010), the applicant must satisfy the mandatory TMF elements for water systems in order to receive funding. The mandatory TMF elements are: (1) consolidation assessment, (2) proof of ownership, (3) proof of water rights, and (4) a budget projection. Applicants are encouraged to evaluate all TMF elements and submit the TMF assessment form with the application. If the applicant is seeking future funding from the Safe Drinking Water State Revolving Fund program, the completed TMF assessment form must be submitted.

Please list any mandatory TMF requirements that cannot be met at the time of application:

Public Water System Name	System ID Number
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I. PROJECT TECHNICAL REPORT INFORMATION

A Project Technical Report is a required attachment. Small water systems should contact the CDPH Proposition 84 Funding Program or your local CDPH District Office before completing this section. **Please refer to the Project Technical Report Guidelines included with this Prop 84 Funding Application Packet.** Indicate below if the required sections of the Project Technical Report have been included. If the information is provided in a separate document, indicate below and attach a copy of the relevant document.

		Included in Tech Report	Attached	Attachment Name
1.	Project Location (<i>include street address and Township, Range & Section</i>)	<input type="checkbox"/>	<input type="checkbox"/>	
2.	Maps showing the service area, existing/proposed facilities, site plan, topography, parcels to be purchased	<input type="checkbox"/>	<input type="checkbox"/>	
3.	Documents justifying the ranked problem	<input type="checkbox"/>	<input type="checkbox"/>	
4.	Water Permit Status	<input type="checkbox"/>	<input type="checkbox"/>	
5.	Problem Description	<input type="checkbox"/>	<input type="checkbox"/>	
6.	Description of Proposed Project	<input type="checkbox"/>	<input type="checkbox"/>	
7.	Analysis of Alternatives/ Analysis of Cost Effectiveness	<input type="checkbox"/>	<input type="checkbox"/>	
8.	Feasibility of Consolidation	<input type="checkbox"/>	<input type="checkbox"/>	
9.	Conceptual Project Design	<input type="checkbox"/>	<input type="checkbox"/>	
10.	Anticipated Benefits of Proposed Project	<input type="checkbox"/>	<input type="checkbox"/>	
11.	Scope of Work and Cost Estimate	<input type="checkbox"/>	<input type="checkbox"/>	
12.	Proposed Project Schedule	<input type="checkbox"/>	<input type="checkbox"/>	
13.	Analysis of Projected Growth	<input type="checkbox"/>	<input type="checkbox"/>	
14.	Ineligible Project Components	<input type="checkbox"/>	<input type="checkbox"/>	
15.	Useful Life of Key Project Components (<i>Applicable to construction projects</i>)	<input type="checkbox"/>	<input type="checkbox"/>	
16.	Proposed Design and Construction Schedule (<i>Applicable to construction projects</i>)	<input type="checkbox"/>	<input type="checkbox"/>	
17.	Environmental Information (<i>refer to Environmental. Documentation section on page 5 of this application</i>)	<input type="checkbox"/>	<input type="checkbox"/>	
18.	Other:	<input type="checkbox"/>	<input type="checkbox"/>	
	Comments:			

Public Water System Name	System ID Number
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J. PROJECT ENVIRONMENTAL DOCUMENTATION

California Environmental Quality Act (CEQA) compliance is required for all projects. **For additional information refer to the Application Guidelines.** For CEQA schedules and forms visit the web site at: <http://www.cdph.ca.gov/certlic/drinkingwater/Pages/ERU.aspx>

Applicant's CEQA Representative		Title	
Address (number, street)	City	ZIP code	Office Telephone ()
e-mail	Mobile Telephone ()		Fax ()
1. Is the applicant or any other public agency acting as the lead agency for the preparation of environmental documents pursuant to CEQA for this project?		<input type="checkbox"/> Yes <input type="checkbox"/> No	
1a If yes, has the CEQA lead agency determined if the activity is project that could be statutorily or categorically exempt from CEQA requirements? Proceed to question 5		<input type="checkbox"/> Yes <input type="checkbox"/> No	
2. Have any other CEQA compliance or environmental review documents for this project been drafted, adopted, or circulated?			
<input type="checkbox"/> Yes. Proceed to question 3 below and indicate existing CEQA documents.			
<input type="checkbox"/> No, but applicant is or will be handling CEQA compliance. Proceed to question 4 below and attach a schedule for CEQA compliance.			
<input type="checkbox"/> No, applicant is a private entity and CDPH will be the lead agency, or the applicant is unsure who should handle CEQA compliance. Proceed to question 6 below and attach a copy of the Environmental Information Form.			
3. If Yes, indicate existing CEQA document(s) and attach a copy.		Attached	Not Applicable
a. Negative Declaration with State Clearing House Number on the Document		<input type="checkbox"/>	<input type="checkbox"/>
b. Mitigated Negative Declaration with State Clearing House Number on the Document		<input type="checkbox"/>	<input type="checkbox"/>
c. EIR w/ State Clearinghouse Number on the document		<input type="checkbox"/>	<input type="checkbox"/>
d. Notice of Determination filed w/ County Clerk or State Clearing House with Department of Fish and Game receipt.		<input type="checkbox"/>	<input type="checkbox"/>
e. Notice of Exemption filed w/ County Clerk or State Clearing House		<input type="checkbox"/>	<input type="checkbox"/>
f. Resolution making CEQA findings		<input type="checkbox"/>	<input type="checkbox"/>
g. Other environmental document		<input type="checkbox"/>	<input type="checkbox"/>
4. Schedule for CEQA compliance		Attached: <input type="checkbox"/>	
5. If the project has been determined to be exempt, provide a copy of the Worksheet for CEQA Exemptions (for public owned water systems only).		Attached: <input type="checkbox"/>	
6. Environmental Information Form (EIF) (for private, mutual, or investor-owned water systems only)		Attached: <input type="checkbox"/>	

Provide any additional status information of the project's Environmental Documentation. *(Use additional sheets as necessary)*

K. PROJECT FINANCIAL INFORMATION – ESTIMATED PROJECT COSTS *(Use additional sheets as necessary)*

Cost Classification	Total Project Costs	Requested Prop 84 Funds	Applicant Funds	Other Funds
Total Funding	\$	\$	\$	\$

If Applicable, please identify the Other source of Funds

Fund Source	Type of Funds (Grant, Loan, In-Kind, User Fees, etc.)	Amount	Applied for Funding (Yes/ No)	Funding Secured (Yes/ No)
		\$		

PROJECT FINANCIAL INFORMATION – OPERATIONS AND MAINTENANCE (O&M) COSTS

(This section applies to construction projects only; applicants applying for feasibility study funds may disregard this section.)

Types of O&M Costs for Project Facilities (i.e., labor, power, waste disposal, etc.)	Estimated Annual O&M Costs for Project Facilities	Sources of Funding

L. APPLICANT FINANCIAL INFORMATION (Use additional sheets as necessary)

- Provide the current water rate structure, including the current average residential monthly water charge: \$ _____
 - Provide a description of the method used to calculate the average residential rate:
- Provide the average projected water rates after completion of the proposed project:
 - Attach a copy of the water systems rate structure for all consumers (Include commercial, industrial users for the current year)
- Attach copies of audited financial statements or tax returns for your entity for the past three most current years.
- List all cash reserves and planned use reserves (Use additional sheets if necessary):

5. Provide a detailed list of outstanding Indebtedness (Fill out the following table and attach documentation for any debt listed):

Date Issued	Name and Address of Creditor	Maturity Date	Current Balance	Annual Payment Amount	Frequency of Payment	Security Pledge	Interest Rate

6. If available, provide a copy of your current Capital Improvement Plan

M. APPLICATION CERTIFICATION

Provide the signature and date for the Authorized Representative submitting the application. This certifies that the Authorized Representative possesses the authority to apply for funding, and the accuracy of the information provided. For more information on the authority required for signature of the application, refer to the Section F (Public Water System Resolutions) of the application guidelines.

I hereby certify that I am the authorized representative of this public water system and that the information provided in this application and supporting information is accurate to the best of my knowledge.

Authorized Representative's Signature: _____ Date: _____

Authorized Representative's Name (please print): _____

Authorized Representative's Title: _____



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APPLICANT'S CHECKLIST

The following list of documents must be submitted with the Application for Grant Funding for it to be deemed complete. Incomplete applications will not be processed. If you are not sure if the requested information applies to you, please contact your local CDPH District Office or the Proposition 84 Program. Please note that additional information may be requested during the application review process.

APPLICATION REQUIREMENTS (Not all of the information listed below is required for all systems. For additional information about each required item please refer to the Proposition 84 Application Guidelines.)		
Included	N/A	Item Description
<input type="checkbox"/>	Required	Application Resolution (Application Section F)
<input type="checkbox"/>	Required	Proposition 84 Application for Grant Funding (with original signature)
<input type="checkbox"/>	Required	Ownership Documents supporting the stated ownership type (Application Section B)
<input type="checkbox"/>	<input type="checkbox"/>	(For CPUC regulated water systems only) Attach copy of the notice to the CPUC stating the intent to submit a funding application (Application Section C)
<input type="checkbox"/>	Required	Map of Service Area showing the existing <u>and</u> proposed facilities. (Application Sections D.3 and I.2)
<input type="checkbox"/>	<input type="checkbox"/>	Attach a description of any Pending Litigation, its current status and potential cost relative to the operation of the water system or proposed project. (Application Section D.5)
<input type="checkbox"/>	<input type="checkbox"/>	Operation Contracts for the Proposed Facility - Provide the name of the firm or agency that will operate the facility <u>and</u> attach a copy of the agreement. (Application Section D.6)
<input type="checkbox"/>	<input type="checkbox"/>	Lease Agreement for land or facilities associated with the project – Describe the terms of the lease <u>and</u> attach a copy of the lease agreement. (Application Section D.7)
<input type="checkbox"/>	Required	Labor Compliance Self Certification Form (Application Section G)
<input type="checkbox"/>	Required	Water Meter Certification Form
<input type="checkbox"/>	Required	Payee Data Record Form STD 204
<input type="checkbox"/>	Required	Mandatory Technical Managerial and Financial (TMF) documents – 5 Year budget projection, consolidation, proof of ownership, and water rights. (Application Section H)
<input type="checkbox"/>	Required	Project Technical Report (Application Section I)
<input type="checkbox"/>	<input type="checkbox"/>	(For construction projects only) Plans and Specifications (Application Section I)
<input type="checkbox"/>	Required	Complete CEQA Documents (Application Section J)
<input type="checkbox"/>	Required	Water system rate structure for the last three years and calculations showing the average household water rate. (Application Section L.1)
<input type="checkbox"/>	Required	Last three (3) years of financial statements or tax returns, <u>and</u> a balance sheet for the current calendar year or fiscal year. (Application Section L.3)
<input type="checkbox"/>	<input type="checkbox"/>	List of all cash reserves and planned uses for the reserves. (Application Section L.4)
<input type="checkbox"/>	<input type="checkbox"/>	A description of all long-term indebtedness. (Application Section L.5)
<input type="checkbox"/>	<input type="checkbox"/>	If available, include the most recent Capital Improvement Plan (CIP). (Application Section L.6)
<input type="checkbox"/>	<input type="checkbox"/>	(For Section 75025 construction projects only) Supplemental Information Form (Enclosure 3s)